**Declaration of Monitoring and Compliance with Sustainable Development Focal Areas and Safeguards**

Instructions

1. Form presentation by the signee:
   1. Calibri 11 points font for base text.
   2. Format pdf.
   3. File name: Sending date (yyyy-mm-dd)[[1]](#footnote-2)\_Monitoring Safeguards statement-Sp\_ID.pdf. Example: 2025-06-31\_Monitoring Safeguards statement-Sp\_ID50.pdf.
2. Form filling:
   * **During the verification stage:** this statement must be completed, signed, and submitted by the holder or developer of the Climate Change Mitigation Program or Project (CCMP). It must be submitted together with *the monitoring report and the safeguards monitoring and compliance report[[2]](#footnote-3)*. This statement must also **be verified, signed, and submitted** by the VVB, along with the verification report or the joint validation and verification report, when applicable, based on the review of the ***Safeguards monitoring and compliance report*** (which must be referenced in the verification report or in the joint validation and verification report, when applicable).
3. Each applicable safeguard reported must be supported with documentary evidence. Such supporting documents are listed in the Safeguards Monitoring Report.
4. Generated / associated document
   1. Sections in verified monitoring reports, where the application and the performance/results of the risk mitigation measures are described and evaluated.
   2. Safeguard compliance report.
   3. Other documents or sections included in documents, as necessary.
5. Audit of this declaration
   1. **During the verification stage:** The VVB in charge of the verification or joint validation and verification event, as applicable, must review the compliance of this declaration with the ***Safeguarding Principles and Procedures of Cercarbono's Certification Programme***, as well as verify the monitoring of risks, the mitigation and risk management plans, and the sections of the monitoring reports where the application and results of control measures are indicated, as established in the monitoring and safeguard compliance report.

This declaration shall be supported by:

* Safeguard compliance report.
* Monitoring and safeguard compliance report.

*This instruction section must be removed when submitting the document.*

**Sustainable Development Focus Areas and Safeguards Monitoring and Compliance Statement**

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| **CCMP name** |  |
| **CCMP holder** | |  |
| **Verification period**  **(day.month.year to day.month.year)** |  |
| **Report date** |  |

The CCMP \_\_\_*(Name of the CCMP)*\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, registered under the Cercarbono voluntary carbon certification programme with ID *XXXX*, represented by \_\_\_*(Name of the person signing the declaration)\_\_\_\_\_\_\_*, hereby declares that the aforementioned CCMP is committed to presenting evidence as required during the various processes involved in the certification cycle under the Cercarbono standard, regarding the analysis, compliance, and observance of the safeguards applicable to the type of CCMP, and of the focus areas of sustainable development criteria listed below, related to the activities connected to the monitoring of the implementation of the aforementioned CCMP:

| Safeguards | Safeguards component | Element | Identified Risk[[3]](#footnote-4) | Level of compliance (Yes–No–Partially–Not Applicable)[[4]](#footnote-5) |
| --- | --- | --- | --- | --- |
| Institutional | 1. Alignment with international agreements and national regulations. | 1.1. Review and compliance with international agreements. |  |  |
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|  |  |
| 1.2. Review and compliance with national regulations. |  |  |
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| 2. Recognition of governance structures. | 2.1. Governance analysis. |  |  |
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| Social and Cultural | 3. Respect for traditional knowledge and human rights. | 3.1. Human rights. |  |  |
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| 3.2. Territorial or collective rights. |  |  |
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| 4. Full and effective participation. | 4.1. Effective participation protocol. |  |  |
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| 4.2. Application of safeguards in line with the due diligence process. |  |  |
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| 4.3. Free, Prior and Informed Consent (FPIC). |  |  |
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| 4.4. Additional mechanisms for full and effective. participation, including benefit-sharing plan or mechanism. |  |  |
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| Territorial and Environmental | 5. Conservation or management of natural resources. | 5.1. Conservation or management of natural resources. |  |  |
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| 5.2. Measures for prevention and management of reversal risks. |  |  |
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| 5.3. Measures to manage GHG emissions displacement. |  |  |
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| 6. Measures for the prevention and management of reversal risks | 6.1 Risk mitigation plan. |  |  |
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| 6.2. Training and education programs. |  |  |
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| 6.3. Participatory and adaptive monitoring. |  |  |
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| 6.4. Anti-corruption measures. |  |  |
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| 6.5. Measures to prevent money laundering or financing of weapons. |  |  |
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| 6.6. Mediation and conflict resolution mechanisms. |  |  |
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| 6.7. Promotion of inclusion and equity. |  |  |
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| 6.8. Natural resource management plans. |  |  |
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| 6.9. Health and safety management plans. |  |  |
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| 6.10. Other strategies identified by the CCMP. |  |  |
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| 7. Risk analysis and management | 7.1. Compliance with environmental legislation. |  |  |
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| 7.2. Expert judgment. |  |  |
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| 7.3. Cumulative impact assessment. |  |  |
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| 7.4. Interaction matrices and diagrams. |  |  |
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| 7.5. Rapid impact assessment matrix. |  |  |
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| 7.6. Quantitative physical and/or mathematical models. |  |  |
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| 7.7. Battelle environmental evaluation system. |  |  |
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| **Holder’s signee full name:** |  |
| **Position:** | |  |
| **ID document:** | |  |
| **Signing date:** | |  |
| **VVB signee full name:** | |  |
| **Position:** | |  |
| **ID document:** | |  |
| **Signing date:** | |  |

**Statement signature (CCMP holder): Validation signature (VVB in charge)**

Document history

|  |  |  |
| --- | --- | --- |
| **Version** | **Date** | **Comments or changes** |
| 1.0 | 12.09.2025 | Initial version, consistent with updated Cercarbono regulatory framework and document: ***Safeguarding Principles and Procedures of Cercarbono's Certification Programme***, as well as the ***Safeguard compliance report template.*** |

1. The separator between the name and the date has been changed to hyphens, since EcoRegistry does not allow the period character. [↑](#footnote-ref-2)
2. Template available at [www.cercarbono.com](https://www.cercarbono.com/es/documents/), section: Documentation. [↑](#footnote-ref-3)
3. Describe the risk identified for the safeguard element (if applicable). [↑](#footnote-ref-4)
4. Describe the level of compliance, considering whether the risk was eliminated (which is supported with a Yes), was reduced or is being controlled (which is supported with a Partially), or no measures have been taken to reduce or eliminate it (which is supported with a No). In any case, justification is required. Example: Yes, the risk was eliminated since the CCMP ensures compliance with national legal regulations. [↑](#footnote-ref-5)